ACI-SMU FICS Professional Certificate in Financial Markets Operations

Specialist Focused. Practitioner’s Perspective

Course Synopsis

In partnership with ACI Asia - The Financial Markets Association Limited and ACI Singapore - The Financial Markets Association, this program focuses on the knowledge and competencies required for processing trades done, for a broad range of financial markets instruments. Participants will learn practical aspects of processing, deal confirmation, settlements, collateral management, client servicing, accounting and operational controls.

Learning Objectives

- Gain an overview on financial market industry, structures and treasury operations
- Learn how transactions are verified and processed in financial markets operations
- Acquire product knowledge to handle trade settlements timely and accurately
- Know the process and documentation for collateral management
- Perform accounting and operational controls to identify errors and minimize risks

Who Should Attend

- New hires in treasury operations such as settlement officers and business analysts
- Middle office staff such as product controllers, risk managers, auditors and compliance offices
- IT professionals involved in the design, implementation, maintenance and enhancement of financial markets operation systems
- Candidate should preferably have a minimum bachelor’s degree and at least 1 year of experience in financial markets operations

Program Dates

17 AUG to 02 NOV
Sat 09:00 – 16:00

Program Fee

SGD $6,600 (excluding GST)

FICS Funding Criteria

70% FICS funding is available to eligible financial institutions and qualified individuals. Terms and conditions apply.

For Enquiries

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Partners

ACI Asia - The Financial Markets Association
ACI Singapore - The Financial Markets Association
Curriculum

Overview of Financial Markets
- Organizational structure of banks and treasury operations
- Market trends and developments

Deal Processing & Controls
- Crucial aspects of processing flow
- Rectification actions and error prevention

Processing & Settlements
- Financial instruments (cash products, securities instruments, OTC derivatives, exchange traded derivatives)
- Product features
- Calculation methodologies
- Processing flow and trade documentation
- Exception management

Client Servicing & Collateral Management
- Duties and responsibilities of client servicing
- Managing trade disputes, failed settlements and default
- Valuation of client portfolio
- Collateral management process and documentation

Accounting & Operational Controls
- Accounting control process on trade
- Rectification steps to clear variances
- Operational risks and controls
- Importance of reconciliation to operations and business

Regulatory Framework in Financial Markets
- Regulatory trends and developments
- Impact and implications on work practices

About FTI
Since its inception in 2007, the Financial Training Institute@SMU (FTI) has established a solid reputation for quality training programs and setting high certification standards for executives and professionals in the financial services industry.

FTI has trained over 2,300 participants and certified about 600 professionals from more than 100 financial institutions, an endorsement of the strong support from the financial services industry. We have since expanded beyond professional certification programs accredited under the Financial Industry Competency Standards, to include specialist workshops in commodity trading and financing.

Looking ahead, FTI will continue to design and develop programs that facilitate progressive expertise-building across functional areas and enhancement in specific fields of expertise.